End-to-End

Open Access Process Review and Improvements

#e2eoap

http://e2eoap.org/

Valerie McCutcheon, Research Information Manager
Characteristics

- Big
- Wee
- North
- South
- Vanilla
- Established
• Association for Research Managers and Administrators
• EPrints User Group
• Other User Groups
• Society of College, National and University Libraries
• Research Libraries UK
• UK Council of Research Repositories
• Everyone
What are we doing that is ‘special’?

- Manifesting standard new open access metadata profile
  - EPrints Case Study
  - Hydra Case Study
  - EPrints OA reporting functionality
  - EPrints improved award linkage functionality
- Generic workshops
  - Early stage – issue identification and solution sharing
  - Embedding future Research Excellence Framework requirements
  - Advocacy?
  - Late stage – report on findings and identify unsolved issues
Are we duplicating standards?

- Consortia Advancing Standards in Research Information Management (CASRAI-UK)
- Repository Interoperability Opportunities (RIOXX)
- Vocabularies for Open Access (V4OA)
- National Information Standards Organization (NISO)
<table>
<thead>
<tr>
<th>Field name</th>
<th>Proposed description</th>
<th>Obligation</th>
<th>Single/Multi Instance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of Acceptance</td>
<td>To comply with RIOXX this date should be encoded using ISO 86-01 9post-2004 versions which follow the following format: YYYY-MM-DD. Year (YYYY) or year and month (YYYY-MM) MAY be used if the full date is not known.</td>
<td>Optional</td>
<td>Single</td>
</tr>
</tbody>
</table>

http://e2eoa.org/2014/07/01/working-on-metadata-requirements/
**Initial Comment**

Needs further exploration and await clarification from RIOXX and NISO. Combines several elements - licence type, dates, see dates and embargo information below. RIOXX also includes a field ‘APC' to indicate whether an APC has been paid or not. Existing systems often the type e.g. gold and/or combination of 'cost' to indicate if fee paid. This needs further analysis.

Kate: I agree that there is a lot going on in this field and that other fields overlap. It's not always clear to authors what the licence is, particularly if it is green, not gold OA. Will we have to link to publisher's websites? Or call it a CC BY-NC equivalent (for example). This will require greater engagement with authors to ensure we get the right information.

E2E: How do we incorporate this in EPrints where the licence type is not one of the standard ones. May be just another option in the drop down list in EPrints.

E2E: We wanted clear online friendly explanation of the licence types, and support required to assist the users.
<table>
<thead>
<tr>
<th>EU Coverage</th>
<th>Spatial coverage</th>
<th>Optional</th>
<th>Multi</th>
<th>Recommend an authority list</th>
<th>Optional for OpenAire which states that this might consist several bits of information. Recommended best practice is to select the value from a controlled vocabulary (for example, the Getty Thesaurus of Geographic Names or TGN) and that, where appropriate, named places or time periods be used in preference to numeric identifiers as, for example, sets of coordinates or date ranges. If necessary, repeat this.</th>
</tr>
</thead>
</table>
Extract from an earlier workflow

Author request APC information form Library (via sprints email ac)

Library checks the required information in the spreadsheet & contacts author if there is any statutory information missing from require fields. (I assume that the author submission information will be input into the spreadsheet – when the Library checks if it is a green or gold application prior to the application being returned to the Ass Dean or nominated person)

Publisher approves/accepts material for publication

PUBLISHER

Publisher refuses publication

Pre-ovament deal

Publisher APC/Membership Discount

Invoice from publisher

Credit card payment

Author informs Library of the payment requirements by email

Author must inform the Library regarding the publisher's decision as soon as it is confirmed

Library checks annual statement from publisher against original purchase order

Library checks on APC Membership Discount rate and inputs the rate into spreadsheet.

Library raises purchase order in Agresso. Purchase

Library marks original application on spreadsheet as completed
• Current initiatives in Open Access
• Metadata requirements
• Process issues and best practice

To:

• Inform current initiatives
• Inform system specification
• Reduce duplication of effort
EPrints Users

Edinburgh – PURE Users

Hull – Work on Hydra and we note a case study on Hydra

End-2-End workshop report will input to other projects

Use Oxford Brookes/Hull/Coventry/UCL benchmarking tools, surveys

Use good practice guides /toolkit/cost information from all

Advocacy workshops UCL/Glasgow. Coventry also focus on advocacy tools.

Linkages to other Pathfinders – Many!
http://e2eoa.org/

#e2eoa

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